



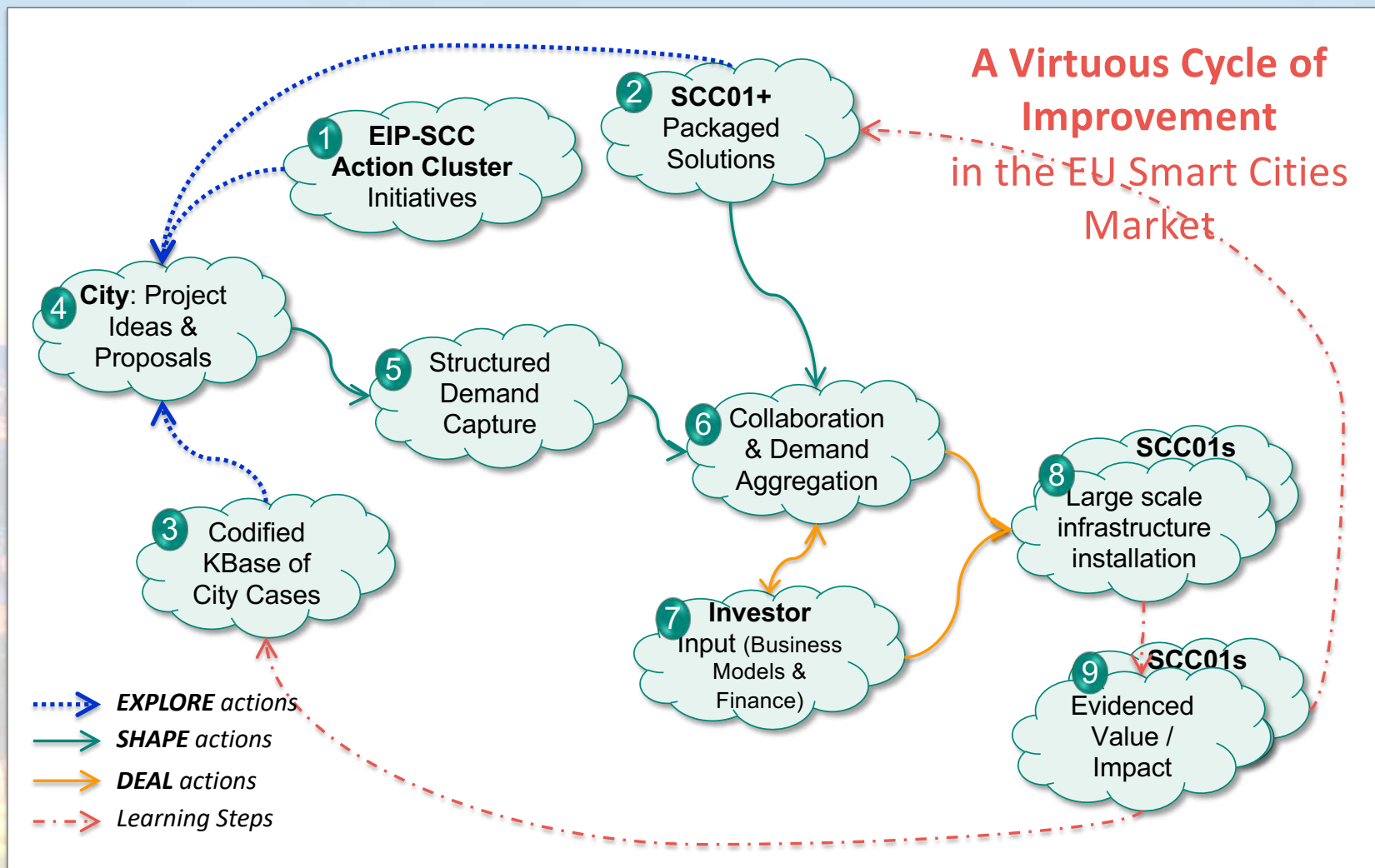
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# EIP-SCC Action Cluster Workshop *25<sup>th</sup> September, Stavanger*

EIP-SCC Marketplace Team



# Our Common Goal



## Multiple Market Sectors and Actors

Several already engaged – more to go

### 6 Key Words

Scale

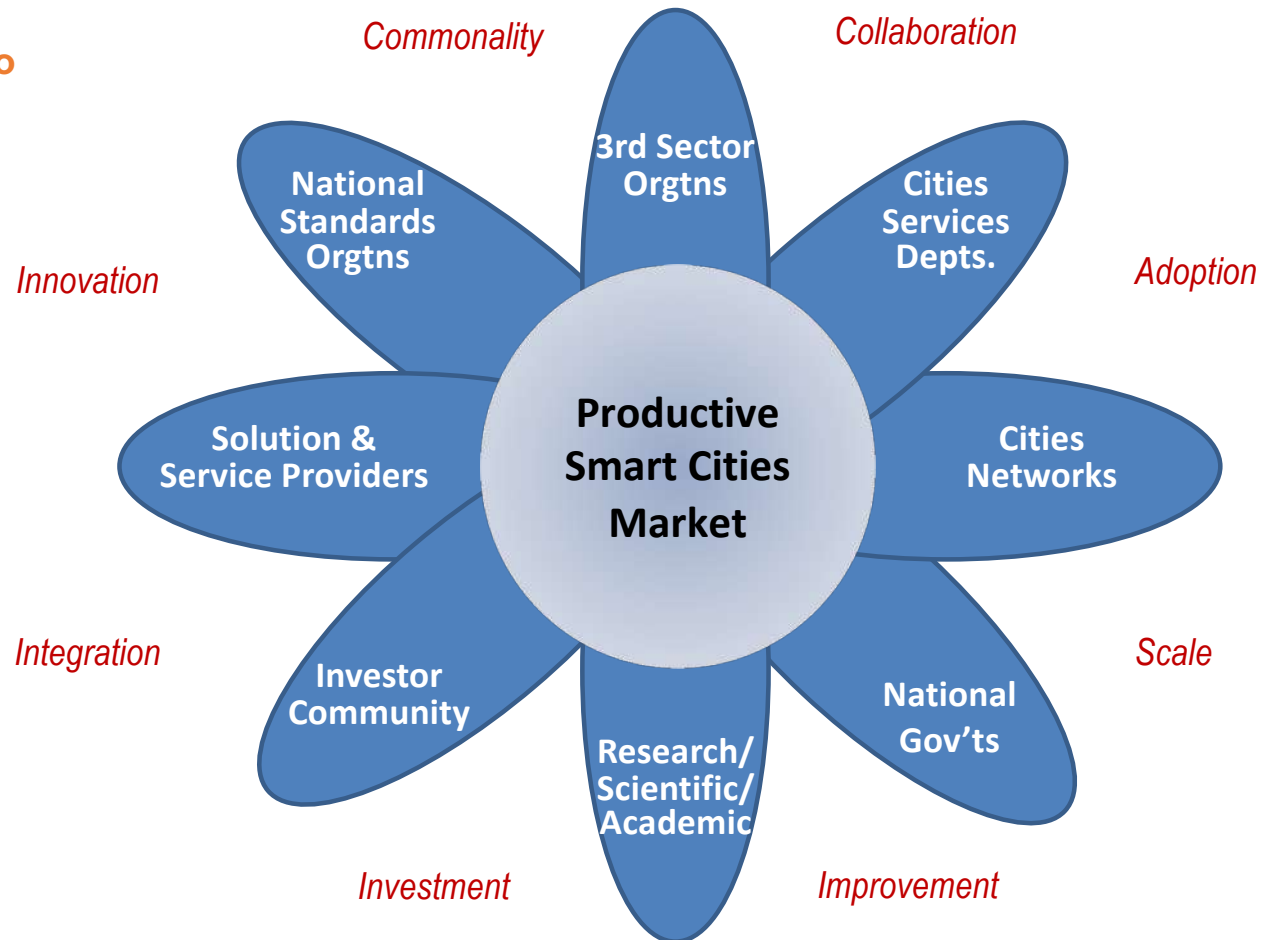
Pace

Impact

Common Solutions

Integrated

Collaboration



## Climate-Neutral & Smart Cities MISSION – a new broader Horizon Europe initiative

An ongoing market engagement process to build and shape the Mission.  
 The EIP-SCC General Assembly provided the opportunity to capture individual's views



### Have Your Say...

#### Principle 1: Bold, inspirational with wide societal relevance

Q: What is bold for you?

- No talking any more – do, and learn
- Where is the context limiting the survival of our societies? Lack of circular economy solutions?
- Mobility – including integration with other sectors
- Collaboration with other continents – towards SDG delivery
- No more boxes (silos); more space and connections
- Accept an unknown future
- Positive Energy Districts
- Human-centred future
- Flexibility: less rigid control / contract periods
- Work with the urban data
- Governance not as usual
- Waste – to – Material: recycling to industrialization

#### Principle 2: A clear direction: targeted, measurable and time-bound

Q: What goals and targets?

- More focus on the invisible problem of cities: poor air quality, and link to mobility and clean energy
- Get operational!
- Local clean energy
- Horizontal administrative processes
- Diversity – problems, solutions, people
- Reim in big tech giants (Google, FB): create trust

#### Principle 3: Ambitious but realistic research & innovation

Q: What R&I actions?

- Standardisation
- Besides technical R&D, also focus on real implementation – organisational, legal, etc, and including citizens
- Link project activity to standards where possible and so (through reporting) build a case study database of successful adoption of standards: projects that investors will see as low hanging fruit
- Integration of mobility and energy systems

#### Principle 4: Cross-disciplinary, cross-sectoral and cross-actor innovation

Q: How to work cross-X?

- All city sectors; all domains; therefore, all DGs bought in
- Any EU project should be cross-fertilised by others in its field:
  - Lessons learned (past)
  - Bundling (ongoing)
  - X-activity (may encourage blending)
- Cities in the lead (with the help of the private markets and knowledge institutes)
- Use much more the capacity of exploded network of the near “100 EU Cities”
- Help small technology companies engage with “Small Giant” cities
- Invitation: come to meet more often cities to make the gaps close
- Rethink government
- Enabling environment

#### Principle 5: Multiple, bottom-up solutions

Q: What bottom up solutions?

- Use immersive UX technologies to engage citizens in city planning
- More focus on local initiatives
- Planning with new priorities
- Sharing experience platform
- Bottom-up: promote good ideas bottom-up in addition to top down calls
- Establish revolving fund and organise contest of local bottom-up initiatives – the best ones get funding
- Make things happen closer to citizens
- Rights and Responsibilities



# The EIP-SCC “Towards a Joint Investment Programme for EU Smart Cities” white paper tabled a 21-point Action Plan

This 21-pt Action Plan has been taken to the next level of detail to stimulate sector actions, and monitor progress



**A. EC-LED/SPONSORED ACTIONS (Feb '19 Update)**

Notes: "A" = single Accountability; R = Responsibility; RAG = Red/Amber/Green status; DoT = Direction of Travel; (N) = New item not explicit in "Towards..." paper; B/C = Board of Coordinators; BMBF = cross-SCCOI Business Models & Finance Task Group; Rep = cross-SCCOI Replication Task Group

A	EC-LED ACTIONS	"A"	R	RAG	DoT	Comment / Action to be taken (by when)
1	Maximise the impact of the SCCOI Lighthouses Programme					
1a	Set in context					
1b	Maximise					
1c	Set in context					
1d	Maximise					
1e	Set in context					
1f	Maximise					
1g	Set in context					
2	Identify Goof: xxx					
2a	2a Social					
2b	2b Ident					
2c	2c (N) Ra					
3	Use S Goof: xxx					
3a	3a Exploit Potent					
3b	3b Ident					
3c	3c Explore shorter term synergies with U					

**B. OTHER PUBLIC / MEMBER STATE-LED ACTIONS (Feb '19 Update)**

Notes: "A" = single Accountability; R = Responsibility; RAG = Red/Amber/Green status; DoT = Direction of Travel; (N) = New item not explicit in "Towards..." paper; Board of Coordinators; BMBF = cross-SCCOI Business Models & Finance Task Group

B	OTHER PUBLIC / MEMBER STATE-LED ACTIONS	"A"	R	RAG	DoT	Comment / Action to be taken (by when)
11	Eng Goof: xxx					
11a	11a Ident					
11b	11b Eng					
11c	11c Ident					
12	Build Goof: xxx					
12a	12a Ident					
13	Use Goof: xxx					
13a	13a Inve					
13b	13b Adv					
13c	13c Ident					
14	Use Goof: xxx					
14a	14a Crex					
15	Collabo Goof: xxx					
15a	15a Cross-SC					
15b	15b Exploit H and pote					
15c	15c (N) Invol					
16	Build In Goof: xxx					
16a	16a (N) incl program					
17	Create S Goof: xxx					
17a	17a (N) Link					

**C. INVESTOR-LED ACTIONS (Feb '19 Update)**

Notes: "A" = single Accountability; R = Responsibility; RAG = Red/Amber/Green status; DoT = Direction of Travel; (N) = New item not explicit in "Towards..." paper; Board of Coordinators; BMBF = cross-SCCOI Business Models & Finance Task Group

C	INVESTOR-LED ACTIONS	"A"	R	RAG	DoT	Comment / Action to be taken (by when)
15	Collabo Goof: xxx					
15a	15a Cross-SC					
15b	15b Exploit H and pote					
15c	15c (N) Invol					

**D. MARKET-LED ACTIONS (Feb '19 Update)**

Notes: "A" = single Accountability; R = Responsibility; RAG = Red/Amber/Green status; DoT = Direction of Travel; (N) = New item not explicit in "Towards..." paper; ISO = International Standards Organisation; ISB = International Standards Bodies; Pavilions = a carefully choreographed bringing together of demand/suppliers/vendors for pre-proc dialogue on demand aggregation

D	MARKET-LED ACTIONS	"A"	R	RAG	DoT	Comment / Action to be taken (by when)
18	Engage industry associations and professional networks					
18a	18a Identify principal relevant smart city industry associations, and discuss if and how they might engage in the market					An ENER standard may also support
19	Sustain application of smart city "Pavilions"					
19a	19a Identify where EC-level demand aggregation initiatives can stimulate Pavilions activities where industry can bring resource (without disengaging cities) – e.g. for mobility where industry often invests in service provision					
20	Develop trusted guidance and standards					Foundations are established
20a	20a Arrange 3 International Standards Bodies (ISB) CEO meetings with					Idea supported by 3 CEOs (Nov 17), ISO sketched agenda, (18) meeting of 3 CEOs

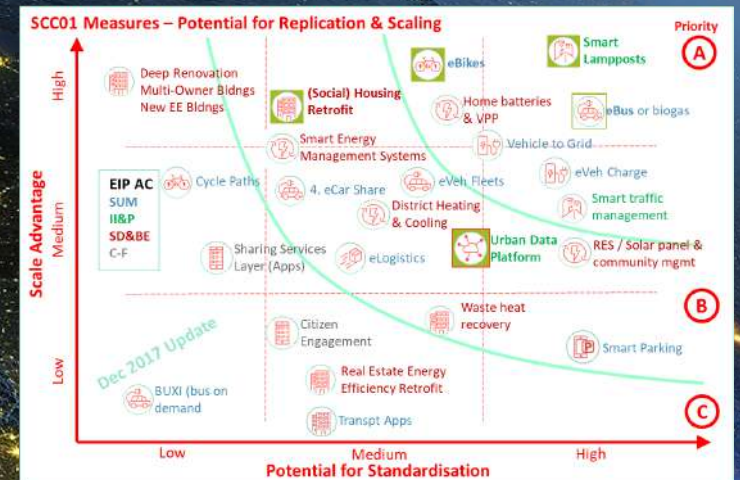
... potential input to the Mission programme?...



# The "EU 100"

– a portfolio of now 17 SCC01 Programmes all tackling a similar policy landscape and demonstrating broadly similar solutions

Clear scope for collective market impact



## The European Innovation Partnership for Smart Cities & Communities (EIP-SCC)

A non-funded vehicle supported by the European Commission to stimulate change in the Smart Cities market

Six interdependent “Action Clusters” with multiple initiatives involving all forms of actor in the market

### Integrated Infrastructure & Processes (II&P)



Humble Lamppost  
Urban Data Platform  
Small Giants  
Space for Cities



Graham Colclough

### Citizen-Focus (C-F)



Maria Sanguliano

Citizen City Societal Engagement Toolkit  
Participatory Budgeting  
Citizen centric approach to data

### Sustainable Urban Mobility (SUM)



New Mobility Services  
E-Vehicles for Smart Cities and Communities  
Intelligent Mobility For Energy Transformation  
Alternative Fuels Special Vehicles  
Urban Air Mobility



Lutz Heuser

### Integrated Planning, Policy and Regulations (IPP&R)



Simona Costa

From Planning and Implementation to Scaling up  
Tools for decision-making, mgmt. & benchmarking  
6-Nations Smart Cities Forum

### Sustainable Districts & Built Environment (SD&BE)



Positive Energy Blocks  
Deep Retrofitting



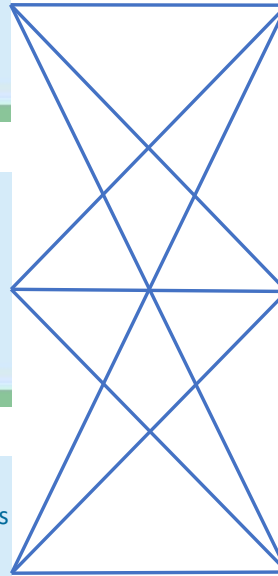
Paul Cartuyvels

### Business Models, Procurement & Funding (BM&F)



Bernadett Koteles-Degrendele

Funding Guide  
Business Model Repository



# EIP-SCC AC Status

## April Initiatives “State-of-Play” Workshop

### April 2019 addressed

- Goals
- Assets Delivered
- Assets Planned
- SoP
- Challenges

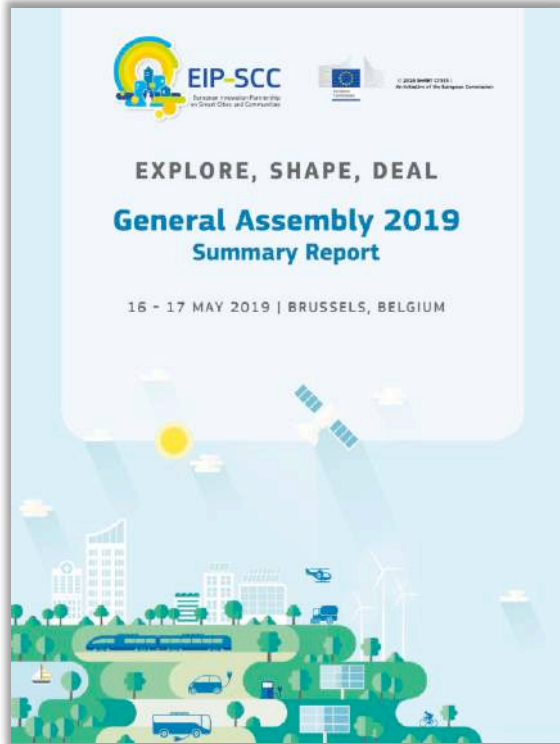


Integrated Infrastructure & Processes					
Core Purpose / Goals	Assets in Place	Assets Planned	SoP	Challenges	
<b>Urban Sustainable Urban Mobility</b>					
Core Purpose / Goals					
Assets in Place					
Assets Planned					
SoP					
Challenges					
<b>Urban Sustainable Districts and Built Environment (SD&amp;BE)</b>					
Core Purpose / Goals					
Assets in Place					
Assets Planned					
SoP					
Challenges					
<b>Integrated Policy, Planning &amp; Regulation</b>					
Core Purpose / Goals					
Assets in Place					
Assets Planned					
SoP					
Challenges					
<b>Business Model, Finance and Procurement (BMF&amp;P)</b>					
Core Purpose / Goals					
Assets in Place					
Assets Planned					
SoP					
Challenges					
<b>Citizen-Focus</b>					
Core Purpose / Goals					
Assets in Place					
Assets Planned					
SoP					
Challenges					
<b>Citizen City (2014 – ongoing) John Zib</b>					
- Develop Tools and Platforms to make citizens central in the delivery of smart projects	- Prototype Citizen City Societal Engagement Toolkit (SET), ready to use - Engage with external supporters (OECD Participedia, CAPS, GAika, SCIS)	- Simple alpha toolkit (PPT/Word) - Digital platform - Alignment with other internal EIP initiatives		- Resource to get beta version toolkit (physical) - City contacts, funding for content creation. - EIP support	
<b>Innovate.city – European Network of City Policy Labs (PL) (mid 2018 – ongoing) Jorge Saraiva</b>					
- Establish ~30 PLabs in EU & RoW cities as basis to speed up and improve policy & planning	- Docs: Paper / brochure; - 11 step roadmap for PL initiative (presently @step 4) - 8 Memorandum of Understanding signed with cities (& several EU & other cities engaged), with the intent to set up a Lab in these cities	Paper for City-as-a-Demonstrator and standard procedures for the creation of a regulatory testbed  3 cities with PL in 2019		- PL city-implementation needed (to position as skills/process/tech) - Resource & business model stimulate action - Alignment within EIP	
<b>Citizen approach to data: privacy-by-design (2015-ongoing) Antonio Kung</b>					
- Disseminate data protection (GDPR) guidelines	- 9 Docs: 8 PPT (102 p.) + 1 brochure - 2016: 2 webinars on city privacy - 2016: Espresso webinar / ERRIN workshop  - 2017: Sharing Cities PIA Template & w'shop - Jan 2018: Eurocities workshop  - 2018 Standardisation proposal ISO/IEC 27570 Privacy guidelines for smart cities - 2018 ISO/IEC 26570 Privacy guidelines for smart cities 1st Working draft	- 3 webinars - 2 workshops - Several contribution to ISO/IEC JTC1/SC27/WG5 on privacy for smart cities. - Review of 2 <sup>nd</sup> working draft		- Need to organise a meet - Need to contact the right	
<b>Participatory Budgeting for Inclusive Smart Cities and Communities (2017–ongoing) Michelangelo Secchi – Maria Sangiuliano</b>					
- External knowledge sharing of					

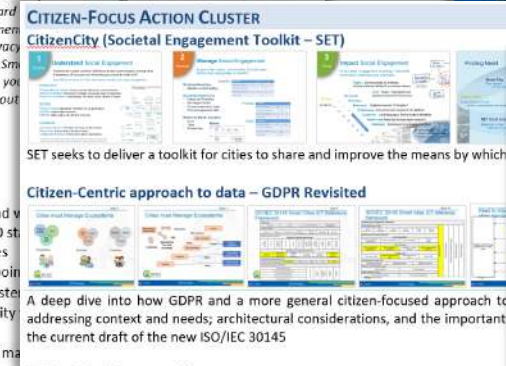


# The May '19 General Assembly harvested some valuable content, and developed some very practical Initiative-specific plans

The April Workshop and May GA report form the basis for the Stavanger AC event



<b>Positive Energy Districts: from Pilots to integrated concepts (IPP&amp;R / SD&amp;BE)</b>	100 Positive Energy Districts in Europe by 2025 – an ambitious goal requiring high quality strategies for upscaling and replication. The “Programme on Positive Energy Districts and Neighbourhoods for Sustainable Urbanisation” (PED Programme) as part of the European SET Plan and coordinated by JPI Urban Europe is a transnational, intergovernmental joint initiative with cities and urban actors in the driving seat for implementation. Increasing activity in this field can be observed: several projects across Europe – including H2020 Lighthouse Cities – provide a wide range of different approaches, definitions and activities. In order to achieve the ambitious European
<b>Small Giants (II&amp;P)</b>	Harnessing the collective power of smaller EU Cities for mutual benefit and to accelerate the pace of positive change, through the actions of nimble progressive ‘Small Giant’ cities. Small cities are where most Europeans live, so resolving urban challenges in this context is vital for worldwide sustainability. 7 thematic areas are tabled for multi-city collaboration, to ‘design for small’, and build essential capacity (skills, resource, funds) to service smaller cities’ needs.
<b>Table Moderation:</b> Trevor Gibson (Janne), Graham Coleman	
<b>Initiative Goal:</b>	<b>Citizen Centric approach to data (C-F)</b>
<b>Status &amp; Challenges:</b>	EIP-SCC is involved in the building of the ISO/IEC 27570 Privacy guidelines for smart cities. A version will be submitted for ballot on May 20th by Antonio Kung, main editor. The session will include a presentation of the current standard management, engineering, citizen engagement more about your obligations and about privacy you want to understand the link with other smart cities standards on specific requirements? Do you with the standard? Join the table to check out
<b>Identified Needs &amp; Opportunities:</b>	<b>Table Moderation:</b> Antonio Kung <b>Initiative Goal:</b> Ensure compliance with GDPR <b>Status &amp; Challenges</b> The initiative started at the end of 2015. Several webinars and insights gained was instrumental in starting the ISO/IEC 27570 smart cities). The standard addresses the following challenges: <ul style="list-style-type: none"> <li>• Governance of ICT ecosystem from a smart city viewpoint</li> <li>• Data sharing agreement management in an ICT ecosystem</li> <li>• Risk management of an ICT ecosystem from a smart city</li> <li>• Ensuring privacy by design practice</li> <li>• Implementing citizen engagement process on privacy matters</li> </ul>
<b>Proposed Next Steps:</b>	<b>Identified Needs &amp; Opportunities:</b> <ul style="list-style-type: none"> <li>• Needs: Understand the needs and obligations about privacy level</li> <li>• Needs: Understand the position of ISO/IEC 27570 with related standards</li> <li>• Opportunities: contribute to ISO/IEC 27570 on specific requirements</li> <li>• Opportunities: confront an EIP-SCC use case with the standard</li> </ul> <b>Proposed Next Steps</b> <ol style="list-style-type: none"> <li>1. Contribution to ISO/IEC 27570 Privacy guidelines for smart cities</li> <li>2. Provision of use cases</li> <li>3. Pilot use of ISO/IEC 27570</li> </ol>



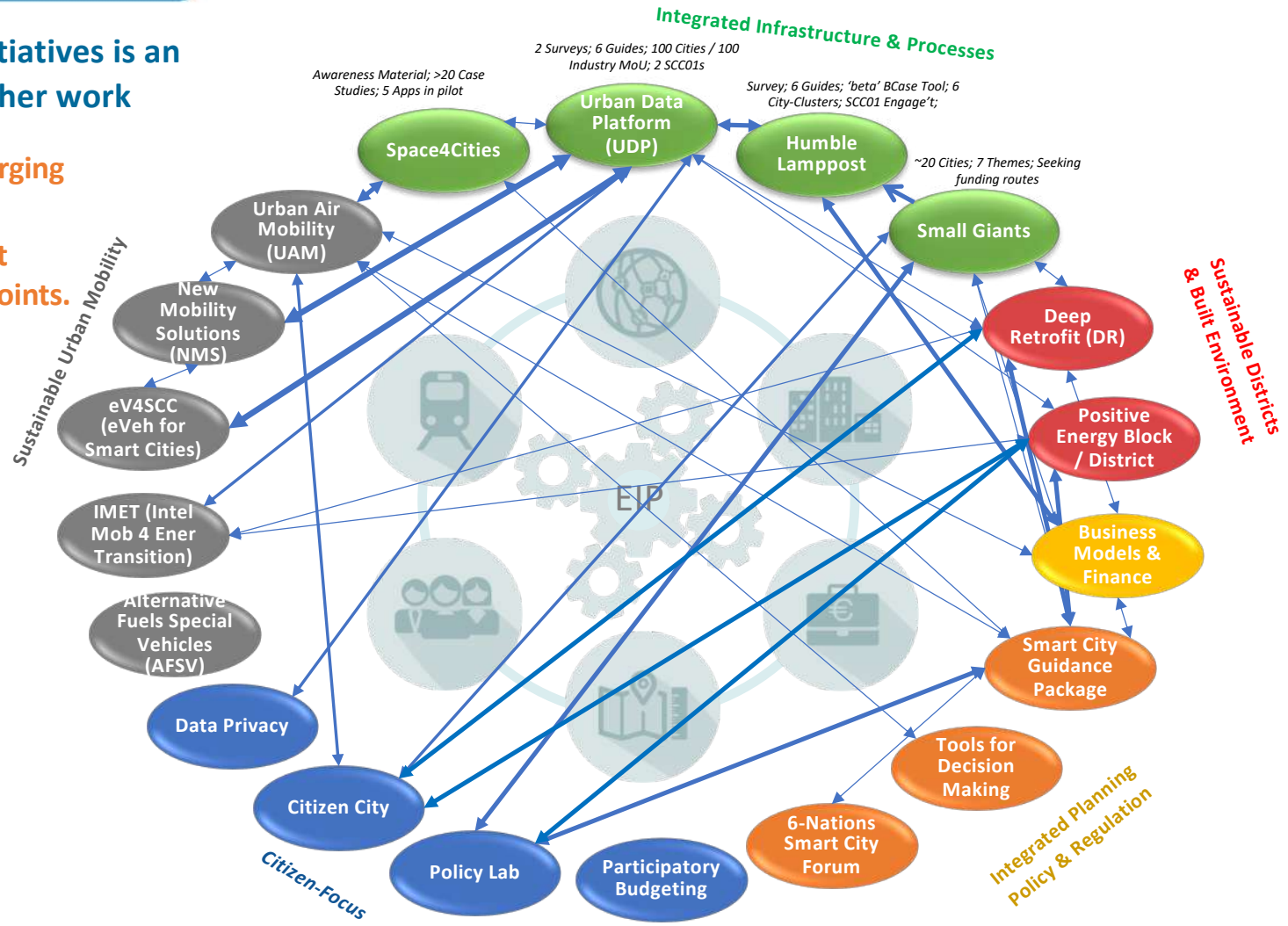
# EIP-SCC AC Status

Inter-dependencies between the initiatives is an emerging activity that warrants further work

The illustration to right provides an emerging picture of interdependencies.

Below shows some early workings to put further substance to those connection points.

EIP-SCC Initiative	UTDP	IR&P	SUM	SO&BE
<b>Urban Data Platform</b>	Start collecting data early			
<b>Humble Lamppost</b>	Collaborative platform			
<b>Small Giants</b>	City level planning			
<b>Space4Cities</b>				
<b>Urban Air Mobility</b>	Drone charge stations			
<b>Intelligent Mobility for Energy Transition</b>	ITS connectivity services			
<b>New Mobility Services</b>	Data sharing/ut	eV CP		
<b>Electric Vehicles for Smart Cities and Communities</b>	Charging/ future EV	eV CP		
<b>Positive Energy Blocks</b>	Case study planning	Role of Public PEBs		
<b>Deep Retrofitting</b>				
<b>Business Models Proc't &amp; Funding</b>	Case validation and Monetisation	DRT requirements for smart B&M	Contract Agreements Models to mitigate risks	
<b>Smart Cities Guidance Package</b>	Data for Planning (e.g. 3D visualisation)		Space4Cities for Urban	
<b>Tools for Decision Making</b>				
<b>6-Nations SC Forum</b>				
<b>Citizen City (IEE)</b>	SET toolkit deployment in Small Giants	SET as one of previous projects	National actions to support the large smaller cities market	
<b>City Policy Labs</b>				
<b>Citizen approach to data</b>				
<b>Participatory Budgeting</b>				



### Finding Funding Routes

Within the AC Initiative discussions we will seek to inform resource and funding opportunities

#### Experience to date of EIP-SCC Initiatives has pointed to two key Funding Pinch Points

1. “Seed Funding” of good ideas from the EIP that need modest resource and funds to shape a compelling proposition – an early stage challenge
2. “Demand Aggregation” stimulus funding to incentivise scale on amongst cities

The adjacent table offers thoughts on potential funding sources that could be considered.

#	Potential Funding Sources	Short	Med	Long
1	<b>EC Project Funds:</b> e.g. the EC commissioned work of JIIP to support SUM initiatives		X	X
2	<b>SCC01 funding:</b> e.g. the initial C-F Privacy workshops have been paid for by one commissioned SCC01, and dialogue has started with others	X	X	
3	<b>Strategic EU or National Government (R&amp;I) funds:</b> e.g. one EIP initiative approached the EC at political level to seek strategic funds		X	
4	<b>H2020 funding:</b> bid for H2020 calls. This represents a double investment in several instances for EIP stakeholders that have already committed in kind.		X	
5	<b>Investor Grant Funds:</b> e.g. EIB Tech Assist grant funds. Generally, the investor community is less engaged in the EIP so expectations of their desire to fund initiatives should be modest		X	X
6	<b>Demand / Supply Funds:</b> either seeking cities to pay modest amount to adopt tools; or access Industry funds / resource for coordination activities and development of tools. Neither has proven successful to date however in theory should be explored	X	X	
7	<b>Philanthropic:</b> early approaches were made by cities to two philanthropic actors for the Urban Data Platform – again with no success.		X	X
8	<b>Crowdfunding:</b> this is in discussion as regards one of the C-F initiatives		X	X
9	<b>Commercialisation of tools:</b> i.e. develop product within the initiative that is then sold to consumers. This risks lack of engagement of the demand side in particular in development, and a distrust of the openness of the eventual product. A model that in theory should be entirely sustainable, however must be tackled with care.		X	X